

# نقابة المهندسين

الدورة التأهيلية لنيل مرتبة المهندس الاستشاري

في اختصاص هندسة الجيوتكنيك

Lecture

2

Settlement of Shallow Foundation

هبوط الأساسات السطحية

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## References

*Bowles , J.,E.,(1996) “Foundation Analysis and Design” -5th ed. McGraw-Hill, ISBN 0-07-912247-7.*

*Das, B., M. (2012), “ Principles of Foundation Engineering ” Eighth Edition, CENGAGE Learning, ISBN-13: 978-1-305-08155-0.*

# Introduction

*Foundation settlements must be estimated with great care for buildings, bridges, towers, power plants, and similar high-cost structures.*

*For structures such as fills, earth dams, levees, braced sheeting, and retaining walls a greater margin of error in the settlements can usually be tolerated.*

# Introduction

*The settlement of a shallow foundation can be divided into two major categories: (a) elastic, or immediate, settlement and (b) consolidation settlement. Immediate, or elastic, settlement of a foundation takes place during or immediately after the construction of the structure.*

# Introduction

*Consolidation settlement or those that are time-dependent and take months to years to develop. Pore water is extruded from the void spaces of saturated clayey soils submerged in water.*

*The total settlement of a foundation is the sum of the elastic settlement and the consolidation settlement.*

# Introduction

*Consolidation settlement comprises two phases: primary and secondary.*

*Secondary consolidation settlement occurs after the completion of primary consolidation caused by slippage and reorientation of soil particles under a sustained load.*

# Introduction

*Primary consolidation settlement is more significant than secondary settlement in inorganic clays and silty soils. However, in organic soils, secondary consolidation settlement is more significant.*

## Immediate settlement

*Immediate settlement analyses are used for all fine-grained soils including silts and clays with a degree of saturation  $S \leq 90$  percent and for all coarse-grained soils with a large coefficient of permeability.*



## Immediate settlement

*Settlement Based on the Theory of Elasticity*

*The elastic settlement of a shallow foundation can be estimated by using the theory of elasticity.*

*From Hooke's law we obtain*

$$S_e = \int_0^H \varepsilon_z dz = \frac{1}{E_s} \int_0^H (\Delta\sigma_z - \mu_s \Delta\sigma_x - \mu_s \Delta\sigma_y) dz$$

*Where:  $S_e$  = elastic settlement     $H$  = thickness of soil layer*

*$E_s$  = modulus of elasticity of soil*

*$\mu_s$  = Poisson's ratio of the soil*

*$\Delta\sigma_z, \Delta\sigma_x, \Delta\sigma_y$  = stress increase due to the net applied foundation load in the  $x, y,$  and  $z$  directions, respectively*

## Immediate settlement

### *Settlement Based on the Theory of Elasticity*

*The settlement of the corner of a rectangular base of dimensions  $B' * L'$  on the surface of an elastic half-space can be computed from an equation from the Theory of Elasticity as follows:*

$$S_e = q_o B' \frac{1 - \mu^2}{E_s} I_s I_f$$

# Immediate settlement

## *Settlement Based on the Theory of Elasticity*

$$S_e = q_o B' \frac{1 - \mu^2}{E_s} I_s I_f$$

$q_o$  = intensity of contact pressure in units of  $E_s$

$E_s$  = average elasticity modulus of soil

$B'$  = least lateral dimension of contributing base area

$I_s$  = influence factor, which depend on  $\frac{L'}{B'}$ , thickness of stratum  $H$ , Poisson's ratio  $\mu$ . and foundation depth  $D_f$

$$I_s = I_1 + \frac{1 - 2\mu}{1 - \mu} I_2$$

## Immediate settlement

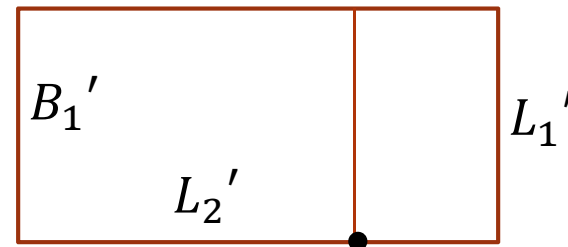
*Settlement Based on the Theory of Elasticity*

*The influence factors  $I_1$  and  $I_2$  can be computed using equations given by Steinbrenner as follows:*

$$I_1 = \frac{1}{\pi} \left[ M \ln \frac{(1 + \sqrt{M^2 + 1})\sqrt{M^2 + N^2}}{M(1 + \sqrt{M^2 + N^2 + 1})} + \ln \frac{(M + \sqrt{M^2 + 1})\sqrt{1 + N^2}}{(M + \sqrt{M^2 + N^2 + 1})} \right]$$

$$I_2 = \frac{N}{2\pi} \tan^{-1} \left( \frac{M}{N\sqrt{M^2 + N^2 + 1}} \right) \quad \tan^{-1} \text{ in radians}$$

*where*  $M = \frac{L'}{B'}$        $N = \frac{H}{B'}$



## Immediate settlement

*Settlement Based on the Theory of Elasticity Theory*

*The influence factor  $I_f$  is from the Fox equations, which suggest that the settlement is reduced when it is placed at some depth in the ground, depending on Poisson's ratio and  $L/B$ .*

$$I_f = 0.66 \left( \frac{D_f}{B} \right)^{-0.19} + 0.025 \left( \frac{L}{B} + 12\mu - 4.6 \right)$$

## Immediate settlement

*Settlement Based on the Theory of Elasticity Theory*

$$S_e = q_o B' \frac{1 - \mu^2}{E_s} I_s I_f$$

*This equation is strictly applicable to flexible bases on the half-space.*

*If your base is "rigid" you should reduce the  $I_s$  factor by about 7 percent (that is,  $I_{sr} = 0.931 I_s$ ).*

# Immediate settlement

## *Settlement Based on the Theory of Elasticity Theory*

*Note that the stratum depth actually causing settlement is not at  $\frac{H}{B} \Rightarrow \infty$  but is at either of the following:*

- a. Depth  $z = 5B$  where  $B =$  least total lateral dimension of base.*
- b. Depth to where a hard stratum is encountered.*

$$E_{sav} = \frac{\sum_{i=1}^{z=H} E_{si} H_i}{H}$$

## Immediate settlement

*Settlement of Sandy Soil: Use of Strain Influence Factor*

*The settlement of granular soils can also be evaluated by the use of a semiempirical strain influence factor proposed by Schmertmann et al. (1978).*



# Immediate settlement

*Settlement of Sandy Soil: Use of Strain Influence Factor*

*According to this method the settlement is*

$$S = C_1 C_2 (q_o - q) \sum \frac{I_z}{E_s} \Delta z$$

*where:*

$$C_1 = 1 - 0.5 \left( \frac{q}{q_o - q} \right) = \text{a correction factor for a depth of foundation}$$

$$C_2 = 1 + 0.2 \log \frac{\text{Time in years}}{0.1} = \text{a correction factor for a creep in soil}$$

$q_o$  = stress at the level of foundation,       $E_s$  = elasticity modulus of soil

$q = \gamma D_f$  = effective stress at the level of foundation

$I_z$  = strain influence factor

## Immediate settlement

*Settlement of Sandy Soil: Use of Strain Influence Factor*

*The following relations are suggested to determine the strain influence factor*

$$I_z \text{ at } Z = 0 \qquad I_z = 0.1 + \frac{0.1}{9} \left( \frac{L}{B} - 1 \right) \leq 0.2$$

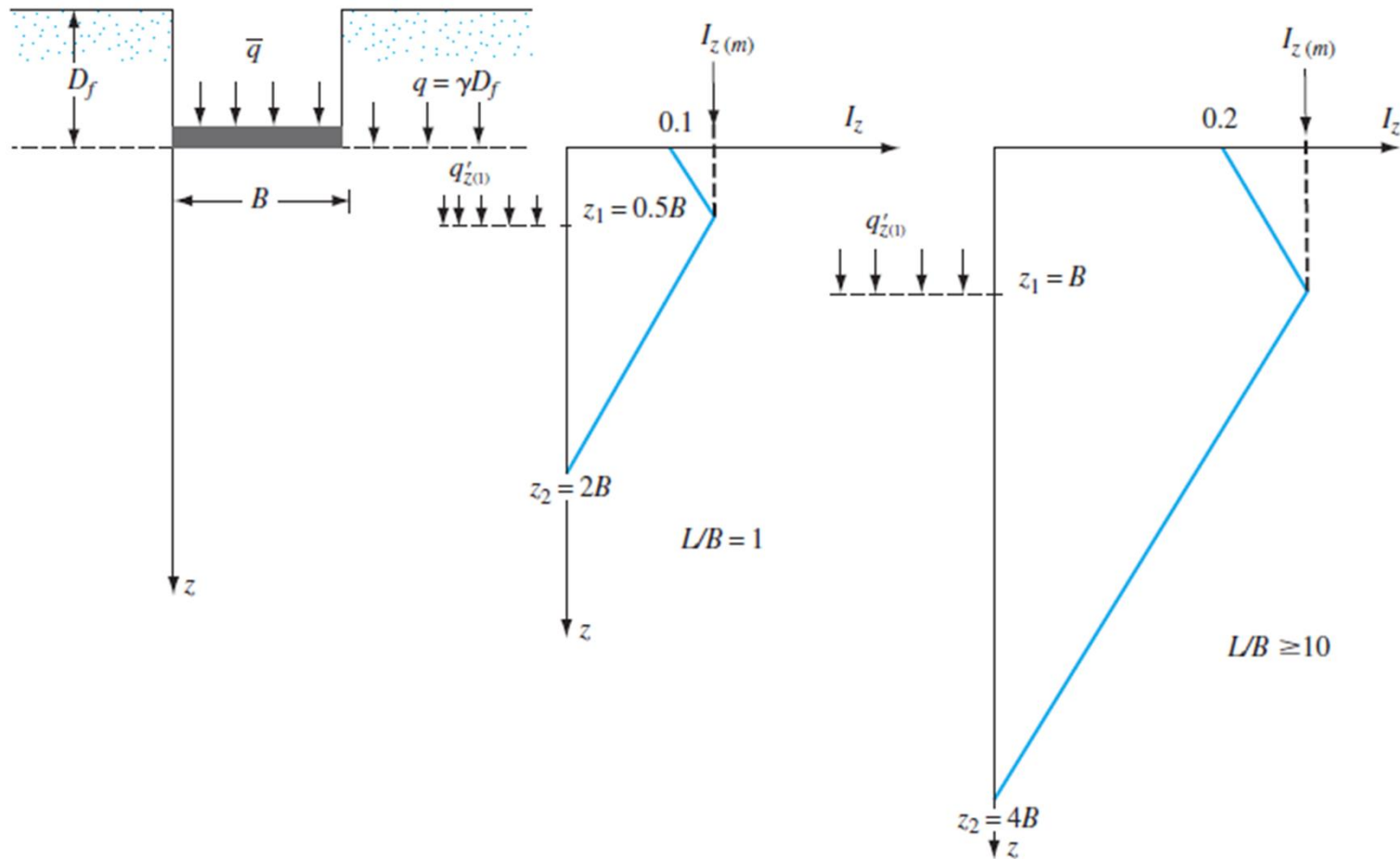
$$\text{Variation of } Z_1 \text{ for } I_{zm} \qquad z_1 = 0.5B + \frac{0.5B}{9} \left( \frac{L}{B} - 1 \right) \leq B$$

$$\text{Variation of } Z_2 \text{ for } I_z = 0 \qquad z_2 = 2B + \frac{2B}{9} \left( \frac{L}{B} - 1 \right) \leq 4B$$

*The maximum value of  $I_z$  can be calculated as*  $I_{zm} = 0.5 + 0.1 \sqrt{\frac{q_o - q}{q_{z1}}}$   
 $q_{z1}$  = effective stress at a depth  $Z_1$

# Immediate settlement

## *Settlement of Sandy Soil: Use of Strain Influence Factor*



# Primary Consolidation Settlement

*On the basis of the one-dimensional consolidation settlement equations we write*

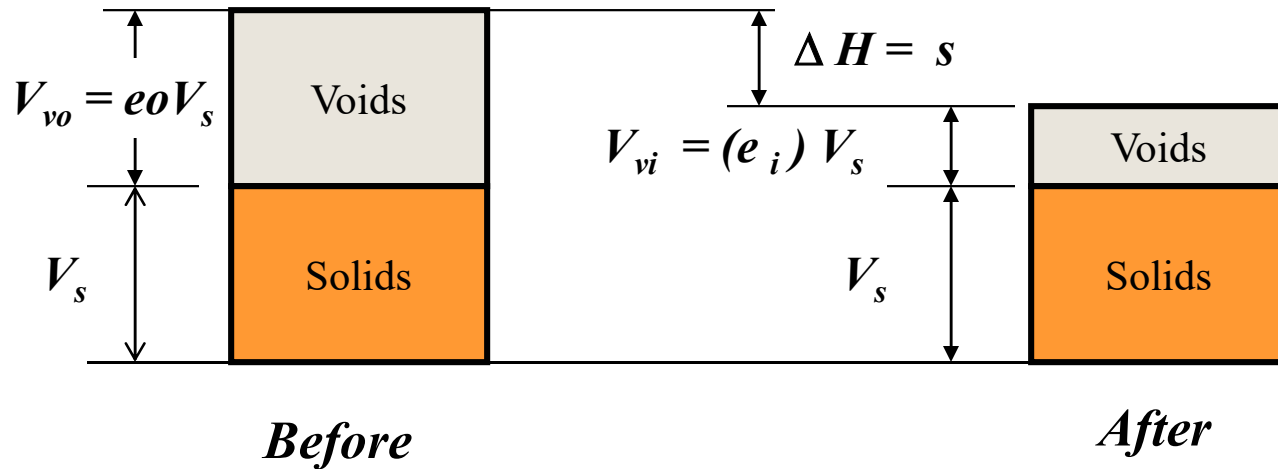
$$S_{c(p)} = \int_0^H \varepsilon_z dz$$

*where :*

$$\varepsilon_z = \frac{\Delta e}{1 + e_o}$$

$$\frac{\Delta h}{h} = \frac{e_o - e_i}{1 + e_o}$$

# Primary Consolidation Settlement



$$V_s = \frac{V_{T_o}}{1 + e_o}$$

$$V_s = \frac{V_{T_i}}{1 + e_i}$$

$$\frac{V_{T_i}}{V_o} = \frac{1 + e_i}{1 + e_o}$$

$$e_i = e_o - (1 + e_o) \frac{\Delta h}{h}$$

## Primary Consolidation Settlement

*The consolidation settlement  $S_c$  due to this average stress increase can be calculated as follows:*

*For normally consolidated clay*

$$S = \frac{c_c h}{1 + e_o} \log \left( \frac{\sigma'_{v0} + \Delta\sigma_v}{\sigma'_{v0}} \right)$$

*For overconsolidated clay with  $\sigma'_{v0} + \Delta\sigma_v \leq P'_c$*

$$S = \frac{c_s h}{1 + e_o} \log \left( \frac{\sigma'_{v0} + \Delta\sigma_v}{\sigma'_{v0}} \right)$$

# Primary Consolidation Settlement

*For overconsolidated clay with*

$$\sigma'_{v0} \leq P'_c \leq \sigma'_{v0} + \Delta\sigma_v$$

$$S = \frac{c_s h}{1 + e_o} \log \left( \frac{P'_c}{\sigma'_{v0}} \right) + \frac{c_c h}{1 + e_o} \log \left( \frac{\sigma'_{v0} + \Delta\sigma_v}{P'_c} \right)$$

*where*

$C_c$  = *compression index*

$C_s$  = *swelling index*

$e_o$  = *initial void ratio of the clay layer*

$P'_c$  = *preconsolidation pressure*

$h$  = *thickness of the clay layer*

$\sigma'_{v0}$  = *overburden effective pressure  
at the middle of the clay layer*

# Primary Consolidation Settlement

$\Delta\sigma_v$  = average increase in pressure on the clay layer caused by the construction of foundation

*Note that the increase in effective pressure,  $\Delta\sigma_v$ , on the clay layer is not constant with depth: The magnitude of  $\Delta\sigma_v$  will decrease with the increase in depth measured from the bottom of the foundation. However, the average increase in pressure may be approximated by*

$$\Delta\sigma_v = \frac{1}{6} (\sigma_{zt} + 4\sigma_{zm} + \sigma_{zb})$$

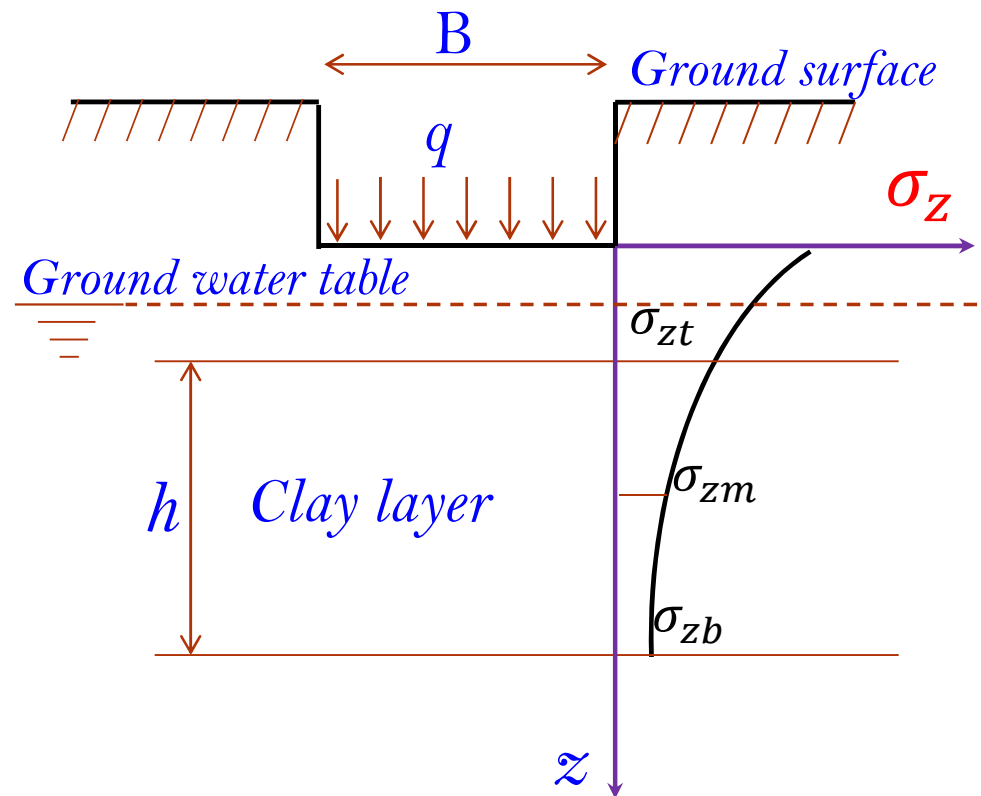


# Primary Consolidation Settlement

## *Primary consolidation settlement calculation*

where :

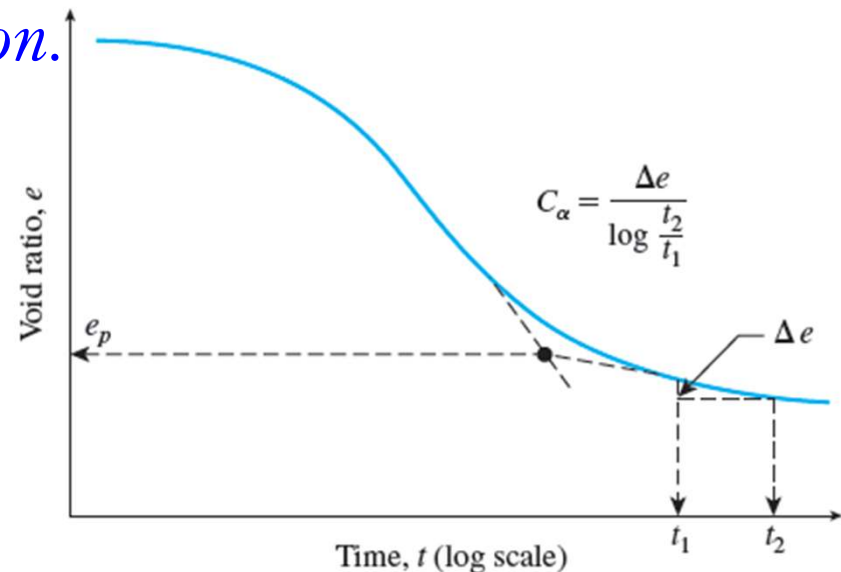
$\sigma_{zt}$ ,  $\sigma_{zm}$ , and  $\sigma_{zb}$  are, respectively, the pressure increases at the top, middle, and bottom of the clay layer that are caused by the construction of the foundation.



# Secondary Consolidation Settlement

*At the end of primary consolidation (i.e., after the complete dissipation of excess pore water pressure) some settlement is observed that is due to the plastic adjustment of soil fabrics. This stage of consolidation is called secondary consolidation.*

*A plot of deformation against the logarithm of time during secondary consolidation is practically linear as shown in Figure.*



# Secondary Consolidation Settlement

From the figure, the secondary compression index can be defined as

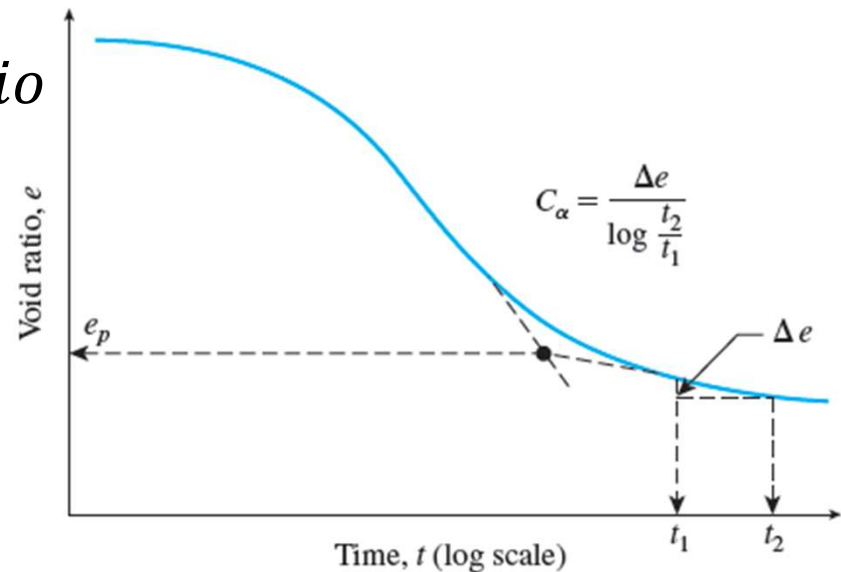
where

$$C_{\alpha} = \frac{\Delta e}{\log t_2 - \log t_1}$$

$C_{\alpha}$  = secondary compression index

$\Delta e$  = change of void ratio

$t_1$  and  $t_2$  = time



# Secondary Consolidation Settlement

*The magnitude of the secondary consolidation can be calculated as*

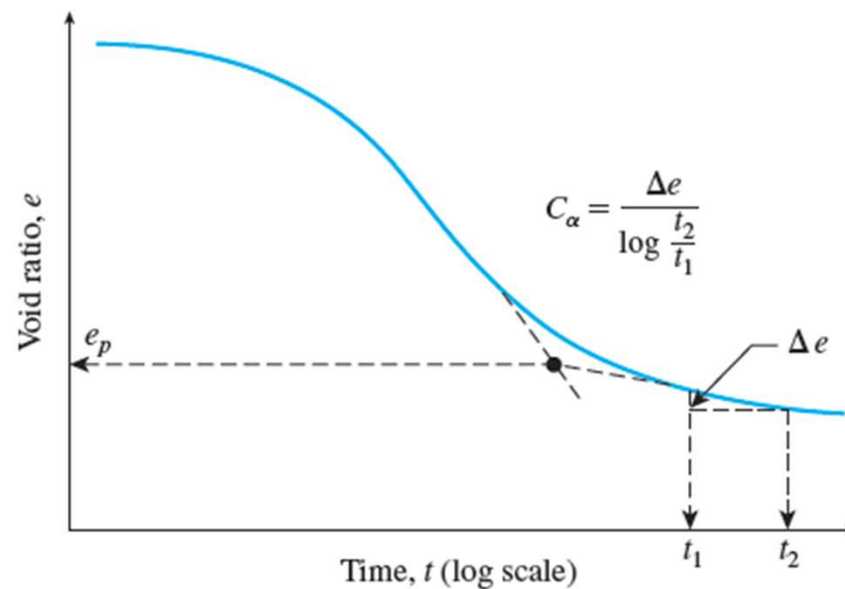
$$S_{cs} = C'_\alpha h \log(t_2/t_1)$$

*where*

$$C'_\alpha = \frac{C_\alpha}{1 + e_p}$$

*$e_p$  = void ratio at the end of primary consolidation*

*$h$  = thickness of the clay layer*



## Secondary Consolidation Settlement

*Secondary consolidation settlement is more important in the case of all organic and highly compressible inorganic soils.*

*In overconsolidated inorganic clays, the secondary compression index is very small and of less practical significance.*

# Time Rate of Consolidation

## *Degree of consolidation*

*The average degree of consolidation for the entire depth of the clay layer at any time  $t$  can be expressed as*

$$U = \frac{S_t}{S_f} = 1 - \frac{\left(\frac{1}{2H_{dr}}\right) \int_0^{2H_{dr}} u_z dz}{u_o}$$

*where*

*$U$  = average degree of consolidation*

*$S_t$  = settlement of the layer at time  $t$*

*$S_f$  = final settlement of the layer  
from primary consolidation*

# Time Rate of Consolidation

## *Degree of consolidation*

*The values of the time factor and their corresponding average degrees of consolidation for the case presented in may also be approximated by the following simple relationship:*

$$\text{For } U = 0 \text{ to } 60\%, \quad T_v = \frac{\pi}{4} \left( \frac{U\%}{100} \right)^2$$

$$\text{For } U > 60\%, \quad T_v = 1.781 - 0.933 \log(100 - U\%)$$

$$T_v = \frac{C_v t}{H_{dr}^2}$$

End of the Lecture

Let Learning Continue